Receipt

PTO/SB/21 (08-00) Please typ plus sign (+) inside this box → Approved for use through 10/31/02. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE er the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/068.471 TRANSMITTAL Filing Date February 4, 2002 FORM **First Named Inventor** Malcolm Lovell HANDEL **Group Art Unit** (to be used for all correspondence after initial filing) **Examiner Name** To Be Assigned **Total Number Of Pages In This Submission** 10 Attorney Docket No. 529282000400 **ENCLOSURES** (check all that apply) Assignment Papers After Allowance Communication to Fee Transmittal Form (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Reply Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition Proprietary Information Petition to Convert to a Affidavits/declarations Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) (please identify Change of Correspondence Address below): **Extension of Time Request** Request for Corrected Filing Receipt -Terminal Disclaimer Marked-Up Copy of Filing Receipt - 2 pages **Express Abandonment Request** Copy of Executed Declaration - 2 pages Copy of Application Data Sheet - 3 pages Request for Refund Return Receipt postcard Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY OR AGENT Firm Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304 or Gladys H. Monroy (Reg. No. 32,430) Individual Name Signature Date February 10, 2003 CERTIFICATE OF MAILING BY "FIRST CLASS MAIL" I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D. 20231, on February 10, 2003. Rlenes 7 Denise Lade

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



PATENT Docket No. 529282000400

#### CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 2023h, on February 10, 2003.

Denise Lade

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Malcom L. HANDEL et al.

Application No.: 10/068,471

Filing Date: February 4, 2002

For: TREATMENT OF INFLAMMATORY

AND MALIGNANT DISEASES

Examiner: To Be Assigned

Group Art Unit: 1646

RECEIVED
FEB 2.8 2003

## REQUEST FOR A CORRECTED FILING RECEIPT

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

Dear Sir:

Please furnish the undersigned with a corrected Filing Receipt for the above-identified application. The corrections needed are listed below.

Please have the Foreign Applications field read:

PCT/AU00/00932 08/04/2000 PQ2014 08/04/1999 A copy of the marked-up Filing Receipt is attached. Also attached are copies of the Application Data Sheet submitted upon filing on February 4, 2002 and executed Declaration submitted on October 29, 2002.

In the unlikely event that the Patent Office determines that a fee is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** and reference 529282000400. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: February 10, 2003

By:

Gladys H. Monroy Registration No. 32,430

Morrison & Foerster LLP 755 Page Mill Road

Palo Alto, California 94304-7034

Telephone: (650) 813-5711 Facsimile: (650) 494-0792





## United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20231
WWW.uspio.gov

APPLICATION NUMBER

FILING DATE

FIRST NAMED APPLICANT

ATTY, DOCKET NO./TITLE

10/068,471

02/04/2002

Malcolm Lovell Handel

529282000400

**CONFIRMATION NO. 7001** 

25226 MORRISON & FOERSTER LLP 755 PAGE MILL RD PALO ALTO, CA 94304-1018

\*OC000000009559225\*

Date Mailed: 02/25/2003

## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

## **Domestic Continuity and Foreign Priority**

Ir re	n response to your request for a corrected Filing Receipt, the Office is unable to comply with the equest because:
	The priority or continuity claim has not been entered because it was not filed during the required time period. Applicant may wish to consider filing a petition to accept an unintentionally delayed claim for priority. See 37 CFR 1.55 or 1.78.
	Continuity claimed under 35 U.S.C. § 120 cannot be added to the Filing Receipt without the applicant supplying the relationship (continuation, divisional, or continuation-in-part) in an Application Data Sheet or amendment to the first page of the specification.
	A claim for priority cannot be made based on an application filed after the application making the claim.
	Domestic benefit and foreign priority claims will not be captured in a provisional application.  A provisional application is not entitled to a right of priority or to the benefit of an earlier filing date of any other application. See 35 U.S.C. § 111(b)(7) and 37 CFR 1.53(c)(4).
	A domestic continuity claim cannot be made to a foreign application and the filing receipt will only list the foreign country, application number, and filing date.
	Foreign priority will appear on the Filing Receipt in the following order:  Country, Application number, Filing date.
	This application is the result of a conversion from a provisional application. Priority based on such application cannot be made since it no longer exists as a provisional application.
X	The application(s) to which priority is claimed were filed over a year prior to the filing date of this application. Therefore, the referenced application(s) cannot be claimed as domestic or foreign priority. PCT/AU00/00932 filed 08/04/2000; PQ 2014 filed 08/04/1999

To change the benefit claim of a U.S. prior-filed application, applicant must amend the first sentence of the specification (if the benefit claim is referenced in the specification), or provide a supplemental application data sheet (ADS) (if the benefit claim was submitted in an ADS), with the desired benefit claim. Note that once a benefit claim is deleted, applicant will not be able to claim such prior-filed application again, if the above-identified application was filed on or after November 29, 2000.	
To change a foreign priority claim, applicant must submit a supplemental oath or declaration (if the priority claim is referenced in the oath or declaration), or a supplemental application data sheet (ADS) (if the priority claim was submitted in an ADS), with the desired priority claim. If a supplemental ADS is submitted, any deletions should be shown with strikeouts. Note that once a priority claim is deleted, applicant will not be able to claim such foreign application again, if the above-identified application was filed on or after November 29, 2000.	
Customer Service Center Office of Initial Patent Examination	

(703) 308-1202



**RECEIVED** Morrison & Foerster, UP

APPLICATION NUMBER FILING DATE GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO **DRAWINGS** TOT CLAIMS IND CLAIMS 10/068,471 02/04/2002 1646 2554 529282000400 20

**CONFIRMATION NO. 7001** 

**UPDATED FILING RECEIPT** 

\*OC000000009174798\*

Date Mailed: 11/29/2002

25226 MORRISON & FOERSTER LLP 755 PAGE MILL RD PALO ALTO, CA 94304-1018

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Malcolm Lovell Handel, Lindfield, AUSTRALIA; Ly Quoc Quynh Nguyen, Randwick, AUSTRALIA: David G. Atkins, New York, NY: Murray John Cairns, Woy Woy, AUSTRALIA;

Domestic Priority data as claimed by applicant

Foreign Applications

00/04/2000 PCT/AU00/00932 00/04/1999

If Required, Foreign Filing License Granted: 04/02/2002

Projected Publication Date: 08/07/2003

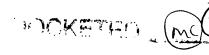
Non-Publication Request: No

Early Publication Request: No

Title

Treatment of inflammatory and malignant diseases

**Preliminary Class** 



# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).